

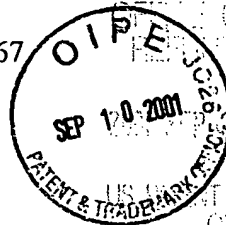
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application 09/530,867

of RITTER et al.

Filed: Jul 6, 2000

Title: IDENTIFICATION CARD AND IDENTIFICATION METHOD



Group Art Unit: 2785

Examiner: Unknown

September 10, 2001

REQUEST FOR REFUND

Hon. Commissioner of Patents
Washington, DC 20231

Sir:

Applicants respectfully request a refund of a charge made against Deposit Account 03-3975, control number 60237/268772, on June 26, 2000 (see copy of PTO statement attached). The \$252.00 fee for excess claims was paid by Applicants' representative, Pillsbury Madison & Sutro (now PILLSBURY WINTHROP LLP), on July 6, 2000 when the Completion Request was filed. A copy of the cancelled check is enclosed, as well as the transmittal form filed and docketing sheet.

Applicant respectfully requests that the \$252.00 overpayment be credited to deposit account 03-3975, control number 60237/268772.

Thank you for your attention to this request. If there are any questions, please contact the undersigned at the telephone number listed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By: 

Dale S. Lazar
Registration No. 28872
Tel. No. 703.905.2126
Fax No. 703.905.2500

DSL/ml
1600 Tysons Boulevard
McLean, Virginia 22102



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and
return top portion with your check. Make check
payable to Commissioner of Patents & Trademarks.

Account No.	033975
Date	6-30-00
Page	3

PILLSBURY MADISON & SUTRO LLP

NINTH FLOOR EAST TOWER
1100 NEW YORK AVENUE, N.W.
WASHINGTON DC 20005-3918



PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
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6	20	00	58	60134627	71198/259866	567	30.00	17678.65
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6	20	00	101	09592916	71265/PMS-258042	103	252.00	17236.65
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AN AMOUNT SUFFICIENT TO
OVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

[GENINQ]
[inquasp4]

Pillsbury Winthrop LLP

***** Search by Matter # / Serial # / Patent # *****
Matter #: 268772 Serial #: 530867 Patent #: 0

Filing Receipt Received: 08/03/00

	<u>Event Date</u>	<u>Event/Resp Type</u>	<u>Amount</u>	<u>Check No.</u>
1.	05/05/00	NEW APPLICATION	0	0
2.	05/05/00	FILING FEE	840.00	124802
3.	05/05/00	PRELIM AMENDMNT	0	0
4.	05/05/00	FORMAL DRAWINGS	0	0
5.	05/05/00	ENGLISH SPEC'N	0	0
6.	05/05/00	INF DISCLOSURE	0	0
7.	07/06/00	DEC/SPEC&OR/FEE	0	0
8.	07/06/00	ASSIGNMENT	40.00	126203
9.	07/06/00	DECLARATION	130.00	126203
10.	07/06/00	CLAIMS AND FEE	252.00	126203



[M]ore, [O]rd, [N]x/[P]v, [F]wd/[B]k, [Q]uit:
total: 11 current: 1



PILLSBURY MADISON & SUTRO, LLP

1100 NEW YORK AVENUE, N.W.
WASHINGTON, D.C. 20005
(202) 861-3000

No. 126203

15-120
540

PAY
TO THE ORDER OF Commissioner of Patents and Trademarks

July 6, 2000

Four hundred twenty-two dollars and 00 cents

\$ *****422.00

Serial No.: 09/530867

U.S. PATENT AND TRADEMARK FEES ACCOUNT

Nations Bank of D.C., N.A.
WASHINGTON, D. C.

Margaret S. Jackson

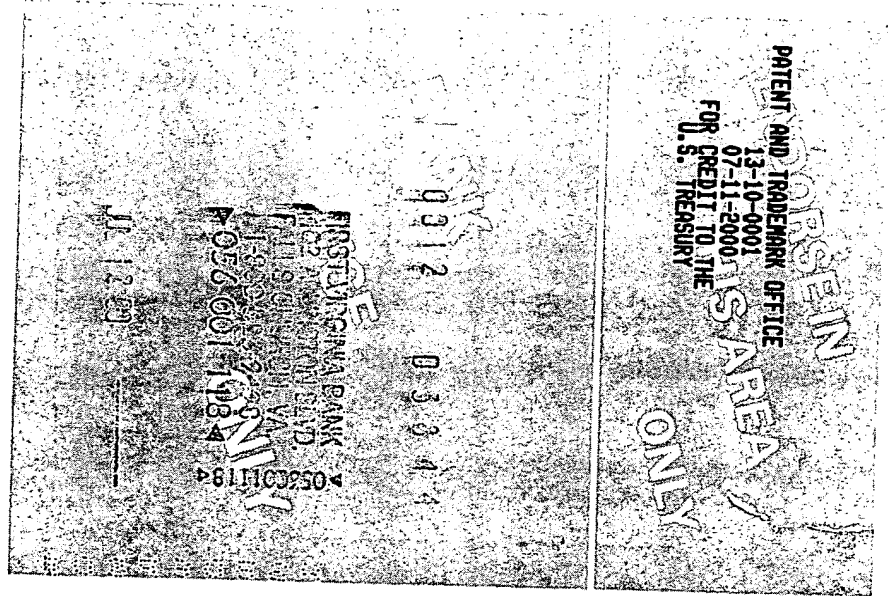
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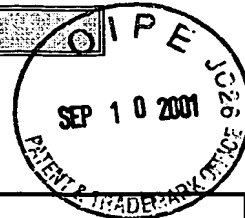
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056007387 E3652 10 P04
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RECEIPT FROM PTO FOR INDICATED ITEMS

(Do NOT Use for New or Continuing Applications of Any Kind)
Use 2 postcards for all New Applns. (cont/Div/CIP, too)
Use this sheet when filing CPA



Appln. No: 09/530,867	Atty: Dale S. Lazar
First Inventor: RITTER et al.	Date: July 6, 2000
	Matter No: 268772
	Client No: 60237

ENCLOSED:

☐ Response/Amendment ☐ Cover Sheet ☐ Cited/Listed Documents

☒ Completion Request for R 53(G)/PCT Nat.

No. of Pages Abstract

No. of Pages Spec and Claims

No. of Numbered Claims Only

No. of Sheets of Drawings (Figs



☐ 1 Set Formal ☐ 1 Set Informal ☐ Cover Letter

☒ Declaration

☒ No. of pages

☒ Assignment

☒ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

☐ No. of Priority Documents

☐ IDS Letter ☐ Citing Appln(s) ☐ Foreign Sch Rep/OA

☐ PTO-1449 ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ 422.00

Fee (Check)

OTHER:

CURRENT DUE DATE: July 8, 2000

(Submit Single Copy Only)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION**UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)****BOX PCT**
COMPLETION
For PCT Cases Only
In re **PATENT APPLICATION** ofInventor(s): **RITTER et al.****Attn: Application Division**
 Appln. No.: 09 | 530,867
 Series Code ↑ | Serial No. ↑

 Atty. Dkt. PM 268772 | 114401.5/DV/mb
 M# | Client Ref

National Phase Field

Based on PCT CH97 | 00425

↑ Country Code & Year

Title: **IDENTIFICATION CARD AND IDENTIFICATION METHOD**

Date: July 6, 2000

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE
 Asst. Commissioner of Patents
 Washington, DC 20231

Sir:

 The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
 - c. pgs. Spec. and Claims;
 - d. ☐ Translation verification
 - e. sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (page(s))
 - a. ☐ plus Annex of family members (page(s))
5. **Information Disclosure Statement** including
 - a. ☐ From PTO-1449 listing documents
 - b. ☐ Copies of document(s) listed on Form PTO-1449
 - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:12. ☐ Preliminary Amendment:13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☒ 14 ☒ 17 ☒ 2515. **CLAIMS FEES** ☐ previously paid ☒ paid herewith as follows:15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	34	minus 20 =	14	x \$18/\$9	+252	966/967
17. Independent Claims	3	minus 3 =	0	x \$78/\$39	+0	964/965
18. If any proper multiple dependent claim (ignore improper) is present,				\$260/\$130	+0	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+130	154/254
20. SUBTOTAL					\$382	
21. Original due date: July 8, 2000						
22. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	+0
				(2mos)	\$380/\$190 =	
				(3mos)	\$870/\$435 =	
				(4mos)	\$1360/\$680 =	
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
24. If "assignment" box 6 is X'd, add recording fee.				\$40	+40	581
25. TOTAL FEE ENCLOSED =					\$422	

(Our Deposit Account No. 03-3975)

(Our Order No. 60237 | 268772

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
 Ninth Floor
 Washington, DC 20005-3918
 Tel: (202) 861-3000
 DSL/nmw

By Atty: Dale S. LazarReg. No. 28872

Sig: _____

Fax: (202) 822-0944
 Tel: (202) 861-3527

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments